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<b>(21) International Application Number:</b> PCT/US97/12510 <b>(22) International Filing Date:</b> 17 July 1997 (17.07.97) <b>(30) Priority Data:</b> 60/021,912 .. 17 July 1996 (17.07.96) US <b>(71) Applicants:</b> THE GENERAL HOSPITAL CORPORATION [US/US]; Fruit Street, Boston, MA 02114 (US). LEUVEN RESEARCH AND DEVELOPMENT, V.Z.M. [BE/BE]; Groot Begijnhof, Benedenstraat 59, 3000 Leuven (BE). <b>(72) Inventors:</b> JANSSENS, Stefan; Koning Leopold III-laan 53, B-3001 Heverlee (BE). BLOCH, Kenneth, D.; Apartment 32, 80 Park Street, Brooklyn, MA 02146 (US). COLLEN, Désiré; Schoonzichtlaan 20, B-3020 Winksele (BE). <b>(74) Agents:</b> GOLDSTEIN, Jorge, A. et al.; Sterne, Kessler, Goldstein & Fox P.L.L.C., Suite 600, 1100 New York Avenue, N.W., Washington, DC 20005-3934 (US).		<b>(81) Designated States:</b> CA, JP, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> METHOD OF INDUCING VASODILATION AND TREATING PULMONARY HYPERTENSION USING ADENOVIRAL-MEDIATED TRANSFER OF THE NITRIC OXIDE SYNTHASE GENE  <b>(57) Abstract</b> <p>This invention relates to a gene therapy method for inducing pulmonary vasodilation. More specifically, this invention involves introducing the nitric oxide synthase gene into lungs resulting in pulmonary vasodilation. This results in a hypotensive effect in the pulmonary circulation which does not significantly affect systemic blood pressure or cardiac index. This method is useful to treat primary pulmonary hypertension or pulmonary hypertension secondary to various disease states.</p>		

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# **Method of Inducing Vasodilation and Treating Pulmonary Hypertension Using Adenoviral-Mediated Transfer of the Nitric Oxide Synthase Gene**

## ***Background of the Invention***

### **5      *Statement as to Rights to Inventions Made Under Federally-Sponsored Research and Development***

Part of the work performed during development of this invention was supported by U.S. Government funds. The U.S. Government may have certain rights in this invention.

### **10      *Field of the Invention***

This invention relates to a gene therapy method for inducing pulmonary vasodilation by transducing a nitric oxide synthase gene into lung tissue. This invention also relates to methods of treating pulmonary hypertension and pharmaceutical compositions for treating pulmonary hypertension.

### **15      *Related Art***

Blood flow through the pulmonary circulation is highly regulated. For example, the pulmonary endothelium regulates pulmonary blood flow and maintains a low vascular resistance by releasing vasoactive substances, which control vasomotor tone, vascular patency, and normal vessel wall architecture. Vanhoutte, *N. Engl. J. Med.* 319:512-513 (1988).<sup>1</sup> Vasomotor tone relates to the degree of active tension in the vessel wall and partially determines the luminal diameter of the vessel. Vascular patency refers to the condition of a blood vessel where the internal luminal diameter is normal and blood flow is unimpeded.

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<sup>1</sup> This article and all other articles, patents, or other documents cited or referred to in this application are specifically incorporated herein by reference.

Nitric oxide is one compound that plays an important role in regulating pulmonary blood flow. However, it is a gas with no known storage mechanism, which diffuses freely across membranes and is extremely labile. Nitric oxide has a biological half-life on the order of seconds, and its production is tightly regulated.

Nitric oxide is produced by two classes of nitric oxide synthases (NOS). Nathan, *FASEB J.* 6:3051-3064 (1992). The constitutively expressed nitric oxide synthases, exist as two isoforms: the endothelial nitric oxide synthase (ceNOS) and the neuronal nitric oxide synthase (nNOS). These isoforms are expressed in vascular endothelial cells, platelets, and in neural tissues such as the brain. This class of nitric oxide synthase is calcium and calmodulin dependent. In blood vessels ceNOS mediates endothelium dependent vasodilation in response to acetylcholine, bradykinin, and other mediators. Nitric oxide levels increase in response to shear stress, i.e., forces on the blood vessels in the direction of blood flow, and the mediators of inflammation. Furchgott and Vanhoutte, *FASEB J.* 3:2007-2018 (1989); Ignarro, *FASEB J.* 3:31-36 (1989).

In the nervous system, the neuronal NOS isoform is localized to discrete populations of neurons in the cerebellum, olfactory bulb, hippocampus, corpus striatum, basal forebrain, and brain stem. Bredt *et al.*, *Nature* 347:768-770 (1990). Neuronal NOS is also concentrated in the posterior pituitary gland, in the superoptic and paraventricular hypothalamic nuclei, and in discrete ganglion cells of the adrenal medulla. *Id.* The widespread cellular localization of the neuronal NOS isoform and the short half-life and diffusion properties of nitric oxide suggest that NOS plays a role in nervous system morphogenesis and synaptic plasticity.

The second class, inducible nitric oxide synthase (iNOS), is expressed in macrophages, hepatocytes, and tumor cells. Steuhr *et al.*, *Adv. Enzymol. Relat. Areas Mol. Biol.* 65:287-346 (1992); Lowenstein *et al.*, *Proc. Natl. Acad. Sci. (USA)* 89:6711-6715 (1992). The inducible form of NOS is not calcium regulated, but its expression is induced by cytokines. This form of NOS functions as a cytotoxic agent, and NO produced by inducible NOS targets tumor cells and

pathogens. Hibbs *et al.*, *Biochem. Biophys. Res. Comm.* 157:87-94 (1988); Nathan, *FASEB J.* 6:3051-3064 (1992); Marletta, *Trends Biochem. Sci.* 14:488-492 (1989).

5 All isoforms of NOS catalyze the conversion of L-arginine to L-citrulline with production of NO. In vascular smooth muscle cells and in platelets, NO activates soluble guanylate cyclase, which increases intracellular guanosine 3',5'-cyclic monophosphate (cGMP), thereby inducing vasorelaxation and inhibiting platelet aggregation. The anti-platelet effect of NO and its vasodilatory and anti-proliferative action on pulmonary vascular smooth muscle cells suggest that NO  
10 may be an important modulator of pulmonary hypertension. Moncada *et al.*, *Pharmacol. Res.* 43:109-142 (1991); Garg *et al.*, *J. Clin. Invest.* 83:1774-1777 (1989); Roberts *et al.*, *Circ. Res.* 76:215-222 (1995); Heath, *Eur. Respir. Rev.* 3:555-558 (1993); Radomski *et al.*, *Biochem. Biophys. Res. Commun.* 148:1482-1489 (1987); Assender *et al.*, *J. Cardiovasc. Pharmacol.* 17:104-107  
15 (1991); de Graaf *et al.*, *Circulation* 85:2284-2290 (1992).

The sequence of the various NOS isoforms have been published or are available in Genbank under the following accession numbers:

Gene:	Species:			
	Man	Rat	Mouse	Cow
Neuronal	U17327	X59949	D14552	
	D16408			
	L02881			

<b>Macrophage (iNOS)</b>	L09210	D14051	M87039	U18331
	X85759-81	D83661	U43428	U14640
	U18334	U26686	L23806	
	U31511	U16359	L09126	
	U20141	D44591	M92649	
	U05810	X76881	M84373	
	X73029	U02534		
	L24553	L12562		
<b>Endothelial</b>	X76303-16	U18336		M89952
	L26914	U28933		L27056
	L23210			M95674
	L10693			M99057
	M95296			M89952
	M93718			

Each of these sequences are expressly incorporated herein by reference.  
The different forms of NOS are about 50 to 60 percent homologous overall.

5        Several *in vitro* and *in vivo* results suggest that NO may play a role in the pulmonary vascular response to hypoxia. For example, in perfused isolated lungs, hypoxia induces a significant reduction in contractile responses to acetylcholine and to inhibitors of NOS. Adnot *et al.*, *J. Clin. Invest.* 87:155-162 (1991). In  
10       isolated pulmonary vascular rings hypoxia suppresses basal and agonist-stimulated release of NO. Johns *et al.*, *Circ. Res.* 65:1508-1515 (1989); Shaul *et al.*, *J. Cardiovasc. Pharmacol.* 22:819-827 (1993). In endothelial cells, hypoxia inhibits NO production by reducing ceNOS mRNA levels and ceNOS mRNA stability. McQuillan *et al.*, *Am. J. Physiol.* 267:H1921-H1927 (1994). Moreover,  
15       downregulation of ceNOS mRNA and protein correlate inversely with the severity of the plexogenic pulmonary arteriopathy in the lungs of patients with pulmonary hypertension. Giaid *et al.*, *N. Engl. J. Med.* 333:214-221 (1995). Therefore, hypoxia-induced hypertension may correlate with reduced NO

generation from pulmonary endothelium affecting the balance between pulmonary vasoconstrictive and vasodilatory stimuli.

In addition to hypoxia-induced pulmonary hypertension, there are other forms of pulmonary hypertension. For example, pulmonary hypertension can result from disease states such as interstitial lung diseases with fibrosis, e.g., sarcoidosis and pneumoconioses, e.g., silicosis. Pulmonary hypertension can also result from emboli, from parasitic diseases such as schistosomiasis or filariasis, from multiple pulmonary artery thromboses associated with sickle cell disease, and from cardiac disease, such as cor pulmonale, and from ischemic and valvular heart disease.

In addition to resulting from other disease, pulmonary hypertension can also be a primary disease condition. Primary pulmonary hypertension is an uncommon disease, which can only be diagnosed after a thorough search for the usual causes of pulmonary hypertension. Ordinarily, the natural course of this disease encompasses about five years, and it is normally fatal, with treatment being palliative. While pharmacological vasodilator therapy for primary and secondary pulmonary hypertension is known, these methods often have undesirable systemic hypotensive side effects.

The use of gene therapy for the treatment of various diseases and disorders has advanced significantly over the last several years. In contrast to traditional pharmaceuticals, gene therapy refers to the transfer and insertion of new genetic information into cells or the substitution of deficient genetic information for the therapeutic treatment of diseases or disorders. In some cases the gene is expressed in the target cell, while in other cases expression is not required, e.g., antisense technology. The foreign gene is normally transferred into a cell that proliferates to spread the new gene throughout the cell population. Often stem cells or pluripotent progenitor cells are the target of gene transfer since they proliferate to various progeny lineages that may express the foreign gene.

High efficiency gene transfer systems for hematopoietic progenitor cell transformation have been described. See Morrow, *Ann. N.Y. Acad. Sci.* 265:13 (1976); Salzar *et al.*, *In Organization and Expression of Globin Genes*, A.R. Liss,

Inc., New York at 313; Bernstein, *In Genetic Engineering: Principles and Methods*, Plenum Press, NY at 235; Dick *et al.*, *Trends in Genetics* 2:165 (1986); Kiem, *Curr. Opin. Oncol.* 7:107-114 (1995). Viral vector transfer systems, such as retrovirus and adenovirus vectors, generally show a higher efficiency of transformation than DNA-mediated gene transfer procedures, such as  $\text{Ca}_3(\text{PO}_4)_2$  precipitation and DEAE dextran. Retroviral vector transfer systems also have the capacity to integrate transferred genes stably into a wide variety of cell types. However, retroviruses require proliferation of target cells for the expression of the newly transferred gene. Other non-viral methods of gene transfer include microinjection, electroporation, liposomes, chromosome transfer, and transfection techniques. See Cline, *Pharmacol. Ther.* 29:69-92 (1985). However, these non-viral vectors have a relatively low *in vivo* transduction efficiency.

Therefore, there exists a need in the art to develop gene therapy methods to induce vasodilation in the pulmonary circulation and to treat pulmonary hypertension.

### *Summary of the Invention*

This invention satisfies these needs in the art by providing a method of inducing pulmonary vasodilation comprising introducing a vector containing a nitric oxide synthase gene operably linked to an expression control element into the lungs of a patient in need of pulmonary vasodilation. The nitric oxide synthase can be a constitutively expressed or an inducible nitric oxide synthase gene. In specific embodiments of this invention, the pulmonary vasodilation is selective, the vector is an adenovirus vector, the nitric oxide synthase gene is the endothelial nitric oxide synthase gene, and this vector is transduced into lung tissue as an aerosol. In more specific embodiments, the resulting pulmonary vasodilation does not significantly affect systemic blood pressure or cardiac index.

This invention also relates to a method of treating pulmonary hypertension comprising overexpressing nitric oxide synthase in the lungs of a patient in need



of treatment by introducing the nitric oxide synthase gene operably linked to an expression control element into the lungs of a patient in need of treatment. In specific embodiments, this method can be used to treat hypoxia-induced pulmonary hypertension, primary pulmonary hypertension, and pulmonary hypertension secondary to pulmonary or cardiac disease states.

This invention further relates to a pharmaceutical composition comprising the nitric oxide synthase gene operably linked to an expression control element and a means for transducing said gene into pulmonary tissue. In an exemplary embodiment, the pharmaceutical composition comprises AdCMVceNOS in admixture with a pharmaceutically acceptable carrier.

Further features, objects and advantages of the present invention will become more fully apparent to one of ordinary skill in the art from a detailed consideration of the following description of the invention when taken together with the accompanying drawings.

### *Brief Description of the Figures*

Figure 1. Figure 1 depicts the immunostaining for ceNOS in cultured rat fetal lung fibroblasts infected with AdCMVceNOS (A, top) and AdCMVHirudin (B, bottom). Abundant ceNOS immunoreactivity was observed in AdCMVceNOS infected cells, but not in AdCMVHirudin infected cells. The micrographs are at a 200-fold magnification.

Figure 2. Figure 2 shows the expression of ceNOS in rat lungs. Protein extracts were obtained from the lungs of rats aerosolized with AdCMVceNOS (lane 2) and AdCMV $\beta$ gal (lane 3). 70  $\mu$ g samples of lung extracts were fractionated using SDS-PAGE and were transferred to nitrocellulose membranes. The presence of ceNOS was detected using a monoclonal antibody, and has an apparent molecular weight of 135 kDa. An extract from human umbilical vein endothelial cells was used as a positive control (lane 1). A monoclonal antibody directed against alpha-actin (42 kDa) was used to monitor the expression of unrelated protein.

Figure 3. Figure 3 depicts ceNOS and  $\beta$ -galactosidase gene expression in the lungs of rats transduced with AdCMVceNOS and AdCMV $\beta$ gal. AdCMV $\beta$ gal transduced rat lungs show nuclear localized  $\beta$ -galactosidase staining in basal airway epithelial cells, alveolar epithelial cells, and adventitial cells of the small pulmonary vessels (A, 200-fold magnification). AdCMVceNOS transduced rat lungs show ceNOS staining in bronchial and alveolar epithelial cells, and in the endothelium of medium-sized and small pulmonary vessels (B, 200-fold magnification; C, 400-fold magnification). AdCMV $\beta$ gal transduced rats show no ceNOS staining (D, 200-fold magnification).

Figure 4. Figure 4 depicts cGMP production in RFL-6 cells. Cellular cGMP content was measured under baseline conditions and after infection with AdCMVceNOS in the absence and in the presence of L-NAME. Sodium nitroprusside (SNP) was used as a positive control and AdCMVHirudin, expressing the thrombin inhibitor, hirudin, was used as a second negative control for a virus containing an unrelated gene. The data depicted are means  $\pm$  SEM of five determinations, except for AdCMVHirudin, which are means  $\pm$  SEM of three determinations. \* indicates  $P < 0.05$  vs. control, AdCMVceNOS + L-NAME, and AdCMVHirudin.

Figure 5. Figure 5 compares hypoxic pulmonary vasoreactivity in AdCMVceNOS vs. AdCMV $\beta$ gal transduced rats. Maximal changes in mean pulmonary artery pressure (mPAP, left panel) and total pulmonary resistance index (TPRI, right panel) are depicted for uninfected rats (open bars,  $n=8$ ), AdCMV $\beta$ gal transduced rats (dashed bars,  $n=8$ ) and AdCMVceNOS transduced rats (closed bars,  $n=8$ ) during a 25 min. acute hypoxic challenge. Pressure and resistance changes are expressed as a percentage of baseline. \* indicates  $P < 0.05$  vs. controls and AdCMV $\beta$ gal. Values are mean  $\pm$  SEM.

Figure 6. Figure 6 depicts the nucleotide sequence of the endothelial isoform of the ceNOS gene.

## *Detailed Description of the Preferred Embodiments*

### *I. Definitions*

In order to provide a clearer and more consistent understanding of the invention, the following definitions are provided.

5 As used herein "**vasodilation**" refers to a physical change in a blood vessel, which results in an increased blood flow capacity through the blood vessel. Vasodilation can either be active vasodilation or passive vasodilation. Active vasodilation is caused by a decrease in the tonus of smooth muscle in the wall of the vessel. Passive vasodilation is caused by increased pressure in the  
10 lumen of the vessel.

As used herein "**introduction**" with reference to introducing nucleic acid into a cell, tissue, or organ refers to the transfer of genetic material into a cell using a viral or non-viral vector. This term is meant to encompass transduction, transformation, and transfection.

15 As used herein "**transduction**" refers to the transfer of genetic material into a cell by viral infection. Transduction normally results in the phenotypic expression of the genetic material introduced into the recipient cell.

As used herein "**pulmonary hypertension**" refers to elevated blood pressure in the pulmonary circulation. Pulmonary hypertension can be either  
20 primary or secondary to pulmonary or cardiac disease. Typically, the pulmonary blood pressure in humans suffering from pulmonary hypertension is greater than 30 mm Hg systolic and greater than 12 mm Hg diastolic, or a mean pulmonary artery pressure in excess of 15-17 mm Hg.

As used herein "**primary pulmonary hypertension**" refers to pulmonary  
25 hypertension not caused by another underlying disease.

As used herein "**secondary pulmonary hypertension**" refers to pulmonary hypertension resulting from another underlying disease. Typically, the underlying disease causing secondary pulmonary hypertension is a pulmonary or cardiac disease.

As used herein "**palliative therapy**" refers to therapy that alleviates the symptoms of a disease without curing that disease.

As used herein "**cardiac index**" refers to the ratio of cardiac output to body weight.

5 As used herein "**a pharmaceutically acceptable vehicle**" is intended to include solvents, carriers, diluents and the like, which are used as additives to preparations of the recombinant DNA molecules containing the NOS gene of the invention so as to provide a carrier or adjuvant for the administration of such compounds.

10 As used herein "**treatment**" or "**treating**" is intended to include the administration of therapeutic compositions of the invention to a subject for purposes which may include prophylaxis, amelioration, prevention, or cure of a medical disorder, such as pulmonary hypertension.

15 As used herein "**nitric oxide synthase**" refers to an enzyme capable of catalyzing the formation of nitric oxide. For example, NOS can catalyze the formation of nitric oxide from the terminal guanidine nitrogen of arginine, with the stoichiometric production of citrulline. A nitric oxide synthase of this invention can be a constitutively expressed or inducible form of nitric oxide synthase.

20 As used herein "**constitutive endothelial nitric oxide synthase**" or "**endothelial nitric oxide synthase**" refers to a nitric oxide synthase having the enzymatic properties of the endothelial nitric oxide synthase encoded by a sequence depicted in Figure 6, or a sequence having significant sequence homology with the sequence of Figure 6. Typically, an endothelial nitric oxide synthase of this invention is encoded by a nucleic acid exhibiting greater than 90% sequence homology with the sequence of Figure 6. In particular  
25 embodiments of this invention, an endothelial nitric oxide synthase of this invention is encoded by a nucleic acid exhibiting greater than 95% sequence homology with the sequence of Figure 6.

30 As used herein a "**vector**" refers to a plasmid, phage, or other DNA molecule, which provides an appropriate nucleic acid environment for a transfer

of a gene of interest into a host cell. A vector will ordinarily be capable of replicating autonomously in eukaryotic hosts, and may be further characterized in terms of endonuclease restriction sites where the vector may be cut in a determinable fashion. The vector may also comprise a marker suitable for use in identifying cells transformed with the cloning vector. For example, markers can be antibiotic resistance genes.

As used herein "operable linkage" refers to the position, orientation, and linkage between a structural gene and expression control element(s) such that the structural gene can be expressed in any host cell. The term "expression control element" includes promoters, enhancers, ribosome binding sites, etc.

## II. Detailed Description

### A. The Nitric Oxide Synthase Gene

This invention relates to gene therapy methods using the nitric oxide synthase gene to induce pulmonary vasodilation. In particular embodiments, this invention relates to methods of treating pulmonary hypertension.

The human endothelial isoform of the ceNOS gene has been cloned. See Janssens *et al.*, *J. Biol. Chem.* 267:14519-14522 (1992). The ceNOS gene contains a 3609 bp open reading frame encoding a 1203 amino acid protein. The predicted molecular weight of this protein is about 133 kDa. At the amino acid level, this protein shares about 52 percent sequence homology with the neuronal isoform of NOS. This homology is most evident within regions corresponding to the flavin mononucleotide, flavin adenine dinucleotide, and NADPH binding sites, and less evident in the amino and carboxy terminal regions.

Plasmid hNOS3C containing the endothelial isoform of the ceNOS gene was deposited at the American Type Culture Collection, 12301 Parklawn Drive, Rockville, Maryland 20832, USA on July 17, 1996, as Accession Number 98106.

In addition to using this cloned ceNOS gene having the sequence of Figure 6 to induce pulmonary vasodilation and treat pulmonary hypertension, this

invention also relates to the use of ceNOS genes having similar but not identical sequences. Thus, this invention relates to the use of any ceNOS gene capable of synthesizing nitric oxide having a similar structure to the ceNOS gene depicted in Figure 6. For example, due to the degeneracy of the genetic code, a ceNOS gene of this invention can encompass any nucleotide sequence encoding the amino acid sequence of ceNOS, as described in Janssens *et al.*, *supra*. A ceNOS gene of this invention can also encompass genes encoding amino acid additions, substitutions, or deletions, so long as these changes do not significantly affect the structural or functional properties of the protein.

This invention also relates to the use of different classes or isoforms of NOS to induce pulmonary vasodilation and treat pulmonary hypertension. For example, inducible nitric oxide synthase (iNOS) can be used. Alternatively, the neuronal isoform (nNOS) can also be used. As with the ceNOS gene, this invention also relates to the use of iNOS and nNOS genes having similar but not identical sequences to those described *supra* at 3. For example, iNOS and nNOS genes useful to practice this invention can encode amino acid additions, substitutions, or deletions. The invention also encompasses the use of any iNOS or nNOS nucleotide sequence encoding the amino acid sequence of iNOS or nNOS, respectively.

Amino acid sequence deletions generally range from about 1 to 30 residues, more preferably 1 to 10 residues, and typically are contiguous. The deletions would typically be outside of the flavin mononucleotide, flavin adenine dinucleotide, and NADPH binding sites. For example, deletions may be in the amino or carboxy terminal regions of the protein.

Amino acid sequence insertions include amino and/or carboxy-terminal fusions of from one residue to polypeptides of essentially unrestricted length, as well as intrasequence insertions of single or multiple amino acid residues. Intrasequence insertions, i.e., insertions within the complete NOS molecule sequence, generally range from about 1 to 10 residues, more preferably 1 to 5. An example of a terminal insertion includes a fusion of a signal sequence, whether heterologous or homologous to the host cell, to the N-terminus or C-terminus of

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the molecule. A fusion sequence often facilitates the secretion of the NOS functional derivative from recombinant hosts.

A NOS of this invention also relates to a sequence in which at least one amino acid residue in the NOS molecule has been removed and a different residue inserted in its place. Such substitutions preferably are made in accordance with the following Table.

Table 1

Original Residue	Exemplary Substitutions
Ala	Gly; Ser
Arg	Lys
Asn	Gln; His
Asp	Glu
Cys	Ser
Gln	Asn
Glu	Asp
Gly	Ala; Pro
His	Asn; Gln
Ile	Leu; Val
Leu	Ile; Val
Lys	Arg; Gln; Glu
Met	Leu; Tyr; Ile
Phe	Met; Leu; Tyr
Ser	Thr
Thr	Ser
Trp	Tyr
Tyr	Trp; Phe
Val	Ile; Leu

Substantial changes in functional or immunological identity are made by selecting substitutions that are less conservative than those in Table 1, e.g., selecting residues that differ more significantly in their effect on maintaining (a) the structure of the polypeptide backbone in the area of substitution, for example, as a sheet or helical conformation, (b) the charge or hydrophobicity of the molecule at the target site, or (c) the bulk of the side chain. The substitutions that in general are expected to those in which (a) glycine and/or proline is substituted by another amino acid or is deleted or inserted; (b) a hydrophilic

residue, e.g., seryl or threonyl, is substituted for (or by) a hydrophobic residue, e.g., leucyl, isoleucyl, phenylalanyl, valyl, or alanyl; (c) a cysteine residue is substituted for (or by) any other residue; (d) a residue having an electropositive side chain, e.g., lysyl, arginyl, or histidyl, is substituted for (or by) a residue having an electronegative charge, e.g., glutamyl or aspartyl; or (e) a residue having a bulky side chain, e.g., phenylalanine, is substituted for (or by) one not having such a side chain, e.g., glycine.

#### **B. Gene Transfer Vectors for the NOS Genes**

Once an appropriate NOS gene has been selected, it must be inserted into an appropriate gene transfer vector for use in gene therapy. Appropriate gene transfer vectors include retroviral vectors, adenovirus vectors, and non-viral vectors that can be targeted to specific cell surface receptors for internalization. For example, Sendai virus (HVJ) can be used as a carrier for a cDNA-liposome complex.

Retroviral gene transfer vectors are retroviruses that have been rendered non-pathogenic by removal or alteration of viral genes so that little or no viral proteins are made in cells infected with the vector. Viral replication functions are provided through the use of packaging cells that produce viral protein but not infectious virus. Following infection of packaging cells with a retroviral vector, virions are produced that can infect target cells, but no further viral spread occurs. The major advantages of retroviral vectors for gene therapy include a high efficiency of gene transfer into replicating cells, the precise integration of the transferred genes into cellular DNA, and the lack of further spread of the sequences following transduction. However, the retroviral vectors are typically not made synthetically but should be produced by cultured cells, and these vectors are complex mixtures that are not purified to homogeneity after production. For a more detailed discussion of retroviral gene transfer vectors, see Miller, *Nature* 357:455-60 (1992).



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Liposome mediated gene transfer can also be used with commercially available liposomes. However, the efficacy of gene transfer can be increased by combining the liposome with the HVJ virus.

Adenovirus gene transfer vectors are normally replication defective. These gene transfer vectors have the capacity to carry large segments of DNA, up to 8-10 kb. The adenovirus genome is about 36 kb in size. Other advantages include a very high titre ( $10^{11}$  ml<sup>-1</sup>), the ability to infect nonreplicating cells, and the ability to infect tissues *in situ*. Moreover, adenovirus gene transfer vectors do not integrate into the target chromosomal DNA.

An adenovirus gene transfer vector typically contains expression regulatory sequences such as promoters and enhancers. For example, the constitutive cytomegalovirus (CMV) early gene promoter/enhancer and/or the SV40 polyadenylation signal sequence may be used. Other alternative expression regulatory sequences are known and could be selected by one skilled in the art. The NOS gene is then inserted into a plasmid containing appropriate regulatory elements using standard recombinant DNA techniques such that the regulatory elements are operably linked to the NOS gene. This expression cassette can then be inserted into a vector containing adenovirus sequences that permit homologous recombination with the adenovirus genome. By way of example, a suitable vector is pACCMVpLpA. This plasmid can then be cotransfected with a vector comprising the full-length adenovirus genome into a suitable host cell, which include transformed human embryonic kidney cells, containing an integrated copy of the left most 12% of the adenovirus 5 genome. The vector comprising the full-length adenovirus genome preferably contains an insert within the genome in order to exceed the packaging limit for adenovirus, rendering the full-length adenovirus containing vector replication defective.

Construction of recombinant adenovirus vectors is not only possible through homologous recombination in a suitable cell line, but also through direct *in vitro* ligation of fragments containing virion DNA and the recombinant viral vector. Suitable host cells for the cotransformation include human embryonic kidney cells, 911 cells (Introgene, b.v., Rijswijk, Netherlands) and PER 6

cells (Introgene). Alternatively, adenovirus vectors showing decreased immunogenicity can also be used.

Homologous recombination between the NOS containing plasmid and the plasmid containing the adenovirus genome results in an adenovirus genome of packageable size where the NOS gene has replaced a portion of the adenovirus genome necessary for viral replication. In specific embodiments of this invention, the adenovirus early region 1 is replaced by the cloned chimeric gene, rendering the virus replication defective. The resulting virus can be used as a gene transfer vector for the NOS gene. In addition, adenoviruses with insertions in the early region 3 (E3) and second generation adenoviral vectors with insertions into the early gene 2 or early gene 4 regions can be used for gene transfer purposes.

### C. *Introduction of the NOS Transfer Vector into Lung Tissue*

A suitable NOS gene transfer vector of the invention can be delivered to the lungs using various delivery systems. For example, the gene transfer can occur either *ex vivo* or *in vivo*. For *ex vivo* gene transfer, macrophages can be transduced *in vitro*, and reintroduced into the patient. When gene transfer occurs *in vivo*, the vector can be introduced by intratracheal, intravenous, intraperitoneal, intramuscular, or intraarterial injections. In order to target the vector to lung tissue, adenovirus vectors that are selectively taken up by pulmonary endothelial cells may be used. Alternatively, a pulmonary tissue specific promoter can be used. However, aerosol delivery is preferred since it is non-invasive and results in deeper penetration of the material into the lungs. Aerosolized material can be deposited throughout the airways and alveoli of subjects to be treated. See Stribling *et al.*, *Proc. Natl. Acad. Sci (USA)* 89:11277-11281 (1992). Typically, the vector is diluted to the required concentration in an isotonic physiologic buffer solution. To optimize distal intro-alveolar delivery a surfactant can be added to the solution.

The vector may also be combined with drugs that would lengthen the clearance time of the vector in the patient. For example, effective concentrations of immunosuppressive agents, sufficient to lengthen the clearance time of the vector, such as cyclosporin or steroids could be used. The vector can also be combined with phosphodiesterase inhibitors such as Zaprinast. *See Cohen et al., J. Clin. Invest. 97:172-179 (1996).*

The recombinant adenovirus vector comprising the NOS gene can be administered to patients in need of treatment as an aerosol. The concentration ranges would typically be  $5 \times 10^7$  and  $5 \times 10^9$  plaque forming units (pfu) per ml. In specific embodiments of this invention, the concentration range would be from  $5 \times 10^8$  -  $1 \times 10^9$  pfu/ml.

When the recombinant adenovirus vector comprising the NOS gene is administered to patients in need of treatment by intratracheal installation, concentration ranges administered are similar to the concentration ranges for aerosol administration.

The gene transfer vector can be formulated according to known methods to prepare pharmaceutically useful compositions, whereby the transfer vector is combined with a pharmaceutically acceptable carrier vehicle. These formulations may vary depending on the nature of the transfer vector, the mode of administration, and the indication. Suitable vehicles and their formulation are described, for example, in Remington's Pharmaceutical Science (18th ed. Mack Publ. Co. (1990)), incorporated herein by reference.

Having now generally described the invention, the same will now be more readily understood by reference to the following examples, which are provided by way of illustration and are not intended to be limiting.

## Examples

### Example 1

#### *Transduction of Rat Fetal Lung Fibroblasts with AdCMVceNOS*

5 To study whether high levels ceNOS protein expression could be achieved, rat fetal lung fibroblasts were grown to 60-70% confluence, infected with AdCMVceNOS or AdCMVHirudin, fixed, and stained with a monoclonal anti-ceNOS antibody.

10 In order to construct AdCMVceNOS, a 3.7 kb *EcoRI/BamHI* fragment of the human endothelial nitric oxide synthase cDNA, Janssens, S.P. *et al.*, *J. Biol. Chem.* 267:14519-14522 (1992), was constructed by ligating a 3.4 kb *EcoRI/NcoI* fragment to a 0.3 kb PCR fragment containing an additional 3'-*BamHI* restriction site. The latter was amplified using a 5'-CGGCGATGTTACCATGGCAACCAACGT-3' primer corresponding to the *NcoI* site at position 3398 and a 5'-CGGATCCCGGCTCTCAGGGGCTGTTGGTG-3' primer and including an additional *BamHI* site at the 3' end of the cDNA.

15 For the recombinant virus construction, the 3.7 kb fragment comprising the entire protein coding region of ceNOS was cloned between the immediate early CMV promoter/enhancer and the SV40 polyadenylation signal of the bacterial plasmid pACCMVpLpA. Gomez-Foix, A. *et al.*, *J. Biol. Chem.* 267:25129-25134 (1992). pACCMVpLpA was obtained from Dr. R. D. Gerard, Center for Transgene Technology and Gene Therapy, Leuven, Belgium. This plasmid contains the E1A-deleted sequences of type 5 adenovirus, including the origin of replication, the packaging signal, the pUC19 polylinker, and the strong enhancer/promoter of the immediate early genes of cytomegalovirus (CMV). A recombinant adenovirus was generated by homologous recombination with pJM17, obtainable from Microbix Biosystems, Inc., Toronto, Ontario, Canada, a bacterial plasmid containing the full-length adenoviral genome, following

cotransfection in E1A-transformed human embryonic kidney cells. These cells are available from the American Type Culture Collection, Rockville, Maryland and the Microbix Biosystems, Inc., Toronto, Ontario, Canada.

5 The presence of the human ceNOS insert in virion DNA isolated from infected 293 cells was confirmed by PCR analysis using the 5'-CGGCGATGTTACCATGGCAACCAACGT-3' primer complementary to the ceNOS 3'-NADPH-adenine site and the 5'-CTCTGTAGGTAGTTTGTCCA-3' primer corresponding to the SV40 splice/polyA site. Southern blot analysis of recombinant viral DNA isolates probed with the primer complementary to the ceNOS 3'-NADPH-adenine site was also performed. ceNOS-containing viral isolates were amplified on confluent 293 cells and, after appearance of cytopathic effects, isolated, precipitated, and concentrated by discontinuous CsCl gradient. Gerard, C. and Meidell, R., Adenovirus vectors in DNA cloning -- A practical approach, in *Mammalian Systems*, Hames, B.D. & Glover, D., eds., Oxford University Press, Oxford, England (1995). These viral isolates were named AdCMVceNOS. Viral titers were determined by infection of monolayers of 293 cells with serial dilutions of the recombinant adenovirus.

10 Recombinant adenovirus carrying the *LacZ* gene encoding a nuclear-localizing variant of the *E. coli*  $\beta$ -galactosidase gene were prepared, amplified and titered as for AdCMVceNOS. See Herz, J. & Gerard, R.D., *Proc. Natl. Acad. Sci. USA* 90:2812-2816 (1993). Similarly, a viral construct containing the cDNA of the thrombin inhibitor hirudin was prepared for use as a control virus. For all *in vivo* studies, viral titers were adjusted to  $5 \times 10^9$  pfu/ml. For transduction of rat fetal lung fibroblasts, multiplicities of infection (MOI) of 10 and 100 were selected, since a higher MOI was associated with cytopathic effects.

20 To detect ceNOS protein in the transduced rat fetal lung fibroblasts, the rat fetal lung fibroblasts (RFL-6) were cultured in DMEM supplemented with 10% fetal bovine serum (GIBCO), 50 units/ml penicillin, and 50 mg/ml streptomycin. The cells were grown in chamber slides (Nunc, Naperville, IL) to about 60% confluence and infected with AdCMVceNOS and AdCMVHirudin diluted in DMEM with 2% fetal bovine serum at 10 and 100 pfu/cell. After 12

hours, the viral suspension was removed and the cells were maintained in culture for 3 days. The presence of the ceNOS gene product was detected by immunostaining. Three days following *in vitro* infection with the adenoviral vectors, the cells were washed with phosphate-buffered saline, fixed for 20 minutes in 4% paraformaldehyde and washed twice in 1 mM Tris, 0.9% NaCl, 0.1% Triton X-100, pH 7.6 (Tris-buffered saline, TBS). Cells were pre-incubated with swine serum at a 1:5 dilution in TBS for 45 minutes and exposed overnight to anti-ceNOS pAB, a rat polyclonal antibody that recognizes human ceNOS (Transduction Laboratories, Exeter, UK) at a concentration of 2 mg/ml. After a one-hour incubation with horseradish peroxidase-labeled swine anti-rabbit second antibody, Prosan, diluted 1:50, and pre-absorbed overnight with 10% rat serum and 3% bovine serum albumin, antibody binding was visualized with diaminobenzidine tetrahydrochloride in 0.1 M Tris buffer, pH 7.2, containing 0.01% H<sub>2</sub>O<sub>2</sub>. Harris' hematoxylin was used as counterstain and slides were dehydrated and mounted with dePex mountant medium (Prosan, Gent, Belgium).

After 3 days, abundant ceNOS was observed in the AdCMVceNOS but not in the AdCMV hirudin-infected cells. See Figure 1. ceNOS expression was detectable after 24 hours, and the number of cells staining positive peaked at 3 days following infection.

## Example 2

### *Measurement of cGMP Levels in Transduced Rat Fetal Lung Fibroblasts*

RFL-6 cells from the American Type Culture Collection, Rockville, Maryland, which contain abundant soluble guanylate cyclase, were grown to 90% confluence in 12-well tissue-culture plates (10<sup>5</sup> cells/well) and infected for 4 hours with either AdCMVceNOS or AdCMVHirudin at 100 pfu/cell or medium only (DMEM with 2% fetal bovine serum) [MOI=100]. Following infection, cells were cultured for 3 days in DMEM with 10% fetal bovine serum. Cells were pretreated for 10 min. with 0.3 mM 3-isobutyl-1-methylxanthine (IBMX) to inhibit phosphodiesterase activity in the presence of the calcium ionophore

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A23187 (2 mM) to stimulate ceNOS activity. 1 mM sodium nitroprusside for 5 minutes was used as a positive control. Intracellular cGMP was extracted in ice-cold 15% trichloroacetic acid (TCA), pH 4.0. TCA was extracted in H<sub>2</sub>O-saturated ether and, following lyophilization, cGMP was quantitated by a commercial enzyme-immuno assay (Amersham Lifescience, Gent, Belgium). The specificity of cGMP formation following soluble guanylate cyclase stimulation by transduced NOS was confirmed by inhibition with 0.5 mM N<sup>G</sup>-nitro-L-arginine methyl ester (L-NAME).

Intracellular cGMP was measured in cells exposed to the calcium ionophore A23187 (2mM) and IBMX. cGMP levels did not differ between uninfected RFL-6 cells and RFL-6 cells infected with AdCMVHirudin. cGMP levels were markedly increased in RFL-6 cells infected with AdCMVceNOS, and in cells exposed to sodium nitroprusside, a NO donor compound. *See Figure 2.* Preincubation of RFL-6 cells with 0.5 mM L-NAME for 30 minutes markedly reduced cGMP levels in AdCMVceNOS-infected cells. The L-NAME-inhibitable increase in cGMP levels in AdCMVceNOS-infected RFL-6 cells suggested that the transgene encoded a biologically active NOS.

### *Example 3*

#### *Transduction of Lung Tissue After Aerosolization of Recombinant AdCMVβgal and AdCMVceNOS*

To achieve high levels of transgene expression in peripheral pulmonary tissues, recombinant adenoviruses were aerosolized *in vivo* in rat lungs during mechanical ventilation. Recombinant adenovirus carrying the *LacZ* gene was used to study the distribution of transgene expression.

Wistar rats (300-350 grams body weight) were anesthetized by intraperitoneal injection of pentobarbital (50 mg/kg), intubated with a polyethylene tube (number PE-240 tubing; 1.67 mm ID), and mechanically ventilated with room air (Model 683; Harvard Apparatus, South Natick, MA). During mechanical ventilation, 600 μl solution of recombinant adenovirus

(AdCMV $\beta$ gal and AdCMVceNOS,  $5 \times 10^9$  pfu/ml) were aerosolized into the lungs via a silastic catheter introduced via a midline neck incision into the trachea distal from the endotracheal tube. Using a tuberculin syringe, a total volume of 600  $\mu$ l viral solution was administered drop by drop during the inspiratory phase of the ventilatory cycle (50  $\mu$ l/10 minutes). Tidal volume was set at 2.5 ml, and frequency at 60/minute. After viral delivery, the catheter was removed from the trachea. Control rats were given an equal volume of sterile saline solution. No side effects were observed during aerosol delivery or following extubation.

Four days after administration of AdCMV $\beta$ gal into the lungs, animals were sacrificed by an overdose of pentobarbital, and the lungs were perfused and fixed through the airways in 4% (wt/vol) formaldehyde. 2 mm-thick segments from the central and peripheral areas of all lobes were incubated in 20% sucrose overnight, overlaid with O.C.T. compound and frozen in liquid nitrogen. Seven micrometer cryostat sections were mounted on poly-L-lysine-coated slides, and the presence and distribution of the *LacZ* gene was detected using  $\beta$ -galactosidase staining (5 mmol/L  $K_4Fe(CN)_6$ , 5 mmol/L  $K_3Fe(CN)_6$ , 1 mmol/L  $MgCl_2$  and 1 mg/ml 5-Bromo-4-chloro-3-indolyl- $\beta$ -D-galactopyranoside (Boehringer Mannheim GmbH, Germany) in PBS) for 4-6 hours, and counter-stained with eosin. To estimate gene transfer efficiency in the histological sections, positive cells were identified by their nuclear blue coloration. AdCMV $\beta$ gal-infected lungs showed diffuse transduction of airway epithelial cells, alveolar lining cells, and adventitial cells in medium and small-sized pulmonary vessels after 5 days (see Figure 3A).

To measure the localization of ceNOS immunoreactivity in AdCMVceNOS-transduced lung tissue, lungs from AdCMVceNOS-infected animals were perfused through the pulmonary artery with PBS, and 4% formaldehyde was instilled into the airways. Lungs were divided in small central and peripheral segments corresponding to the different lobes, and the segments were overlaid with O.C.T. compound and frozen in liquid nitrogen. Seven  $\mu$ m cryostat sections were mounted on slides, washed twice with TBS and blocked with normal rat serum, diluted 1:5 in TBS for 45 minutes. The sections were



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incubated overnight with the anti-ceNOS antibody (2 mg/ml) followed by incubation for 1 hour with a rabbit anti-mouse IgG peroxidase conjugate (dilution 1:50; preabsorbed overnight at 4°C with 10% preimmune rat serum and 3% bovine serum albumin). Antibody binding was visualized with 3,3'-diaminobenzadine tetrahydrochloride (DAB, Sigma Chemicals) in 0.1 M Tris buffer, pH 7.2, containing 0.01% H<sub>2</sub>O<sub>2</sub>. Sections were counter-stained with Harris' hematoxylin, dehydrated, and mounted with dePex-mountant medium.

The efficacy and distribution of ceNOS expression in the lungs following aerosolization of AdCMVceNOS was studied at various times (3, 4, 5, 8 and 12 days) after gene transfer by immunostaining with monoclonal antibodies directed against human ceNOS. Diffuse ceNOS immunostaining was observed in large airways, lung parenchyma, and endothelial cells of the medium-sized and small pulmonary vessels. See Figures 3B and 3C. The intensity of staining was maximal after 5 days, but was still detected after 2 weeks. In control and AdCMVβgal-treated rats, no ceNOS immunoreactivity was detected in large airways, alveolar epithelial cells, or in small pulmonary vessels. See Figure 3D. Endogenous ceNOS immunoreactivity was predominantly detected in endothelial cells of large, fully muscular vessels. There was little variation in the staining pattern between animals infected with AdCMVceNOS. Infection with a titer of  $5 \times 10^9$  pfu/ml AdCMVceNOS was not associated with any significant pulmonary infiltrates and did not affect body weight.

#### *Example 4*

##### *Activation of the Inducible Form of NOS (iNOS) by Transduction of AdCMVceNOS*

Cytokines released during local inflammatory reactions or in response to adenoviral infection could activate the inducible isoform of NOS (iNOS). Therefore the effect of gene transfer and the associated immune response against adenovirus on the stimulation of iNOS gene expression in rat lungs was investigated. No iNOS immunoreactivity was observed with a specific anti-iNOS

antiserum (Transduction Laboratories) on sections from AdCMVceNOS or AdCMV $\beta$ gal-treated rats. These results show that recombinant adenovirus infection itself does not appreciably stimulate NOS production via inflammation and induction of the inducible isoform of NOS.

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### Example 5

#### *ceNOS Protein Levels in Adenovirus-Infected and Control Lungs*

ceNOS protein levels in adenovirus-infected and control lungs were measured by immunoblot analysis of extracts from control, AdCMV $\beta$ gal, and AdCMVceNOS-treated rat lungs.

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Expression of ceNOS in rat lungs was assessed on day 4 after gene transfer. Animals were sacrificed and the lungs were excised and processed immediately or quick-frozen in liquid nitrogen. To extract total protein, lungs were homogenized in ice-cold buffer (5 mM Hepes, pH 7.9; 26% glycerol (v/v); 1.5 mM MgCl<sub>2</sub>; 0.2 mM EDTA; 0.5 mM DTT; 0.5 mM phenylmethanesulfonyl fluoride (PMSF); and 300 mM NaCl) and incubated on ice for 30 minutes. After centrifugation at 100,000 g at 4°C for 20 minutes, the supernatant containing crude enzyme preparations was mixed with an equal volume of 2% SDS/1%  $\beta$ -mercaptoethanol and fractionated using 8% SDS/PAGE (70  $\mu$ l/lane). Proteins were then transferred to a nitrocellulose membrane (Hybond-ECL, Amersham Lifesciences, Gent, Belgium) by semi-dry electroblotting for one hour. The membranes were blocked by incubating for one hour at room temperature with blotto-Tween (5% nonfat dry milk, 0.1% Tween-20) and incubated with a primary monoclonal mouse anti-ceNOS IgG1 antibody (mAb, 0.25 mg/ml, dilution 1:1000, Transduction Laboratories, Exeter, UK). Bound antibody was detected with horseradish peroxidase-labeled rat anti-mouse IgG second antibody (Prosan, dilution 1:2000 in Blotto/Tween) and visualized using enhanced chemiluminescence (ECL, Amersham, Gent, Belgium).

The antibody detected abundant levels of the 135 kDa protein in the lung extracts of rats 4 days after treatment with AdCMVceNOS (Figure 4). Only very

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low levels were detected after aerosolization of AdCMV $\beta$ gal, or in lung extracts from untreated control rats.

### Example 6

#### *ceNOS Enzymatic Activity in Adenovirus-Infected and Control Lungs*

5           ceNOS enzymatic activity as defined by [ $^3$ H]L-arginine to [ $^3$ H]L-citrulline conversion was measured in extracts from AdCMV $\beta$ gal and AdCMVceNOS transduced lungs.

10           To measure ceNOS enzymatic activity, L-arginine to L-citrulline conversion was assayed in pulmonary extracts using a modification of the method described by Xuc *et al.*, *Amer. J. Phys.* 267:L667-L678 (1994). Lung protein extracts prepared as described above were purified by affinity chromatography on 2',3'-ADP Sepharose and incubated for 30 minutes at 37°C in a solution of 10 mM L-[2,3- $^3$ H] argenine (59 Ci/mmol:1 Ci=37 Gbq), 1 mM NADPH, 100 nM calmodulin, 2 mM CaCl<sub>2</sub>, 200  $\mu$ M tetrahydrobiopterin in a final volume of 1 ml.

15           To inhibit NOS activity, duplicate samples were incubated in the presence of 0.5 mM L-NAME. The reaction was stopped by adding 1 ml of stop buffer (2 mM EGTA, 2 mM EDTA, 20 mM Hepes buffer, pH 5.5) to 200  $\mu$ l aliquots of the reaction mixture. The total volume was then applied to a 1 ml Dowex AG 50WX-8 column (Na<sup>+</sup> form, Bio-Rad Laboratories, Nazareth Eke, Belgium)

20           preequilibrated with the stop buffer. L-[2,3- $^3$ H]citrulline was eluted with 2 ml of distilled water and the radioactivity was determined by liquid scintillation counting. Enzyme activity was expressed as citrulline production in pmol·minutes<sup>-1</sup>·mg protein<sup>-1</sup>.

25           [ $^3$ H]L-citrulline formation was 86% greater in lung extracts from AdCMVceNOS treated rats compared to AdCMV $\beta$ gal treated animals, and this increased conversion was blocked in the presence of L-NAME. Simultaneously, biological activity of the expressed ceNOS was evaluated by measuring intrapulmonary cGMP.

### Example 7

#### *cGMP Levels in Adenovirus-Infected and Control Lungs*

5 Intrapulmonary cGMP levels were measured in extracts from AdCMVceNOS, AdCMV $\beta$ gal, and control lungs. For cGMP determinations lungs were frozen in liquid nitrogen and 400 to 700 mg tissue samples subsequently homogenized in 1 ml icecold 6% trichloroacetic acid (TCA), pH 4.0. The sample was then centrifuged at 10,000 x g for 15 minutes at 4°C. The supernatant was transferred to a 30 ml glass centrifuge tube and TCA was ether  
10 extracted 4 times. A 500  $\mu$ l aliquot of the sample was then lyophilized, resuspended in 500  $\mu$ l of 0.05M sodium acetate buffer (pH 5.8) and assayed for cellular cGMP utilizing a nonradioactive enzyme-immunoassay kit (Amersham Life Science). Pulmonary cGMP levels were described as picomoles cGMP per mg of TCA precipitatable protein.

15 cGMP levels were about 10-fold greater in AdCMVceNOS transduced lungs compared to control lungs ( $59 \pm 9$  pmol/mg protein vs.  $7 \pm 1$  pmol/mg protein and  $3 \pm 1$  pmol/mg protein, respectively,  $P < 0.05$ ).<sup>2</sup>

### Example 8

#### *Aerosolization of Recombinant AdCMVceNOS Attenuates Hypoxia-Induced Pulmonary Vasoconstriction*

20 The effect of increased production of NO following AdCMVceNOS gene transfer on hypoxic pulmonary vasoconstriction was investigated.

After recovery from the aerosol gene delivery procedure, rats were maintained in room air for three days and reanesthetized on the fourth day after  
25 gene transfer. To measure pulmonary artery pressure (PAP), a silastic catheter

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<sup>2</sup> Analysis of variance (ANOVA) in the Examples was determined by the Student-Neumann-Keuls post test to determine significant differences in multiple comparison testing between groups. All values are expressed as means  $\pm$  SEM. For all experiments, statistical significance was assumed at  $P < 0.05$ .

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(0.30 mm ID, 0.64 mm OD) was introduced into the right jugular vein and advanced through the right atrium and ventricle into the pulmonary artery. To measure systemic arterial pressure, a 2 F catheter was positioned in the right carotid artery. Both carotid arterial and pulmonary artery catheters were connected to a pressure transducer (Model AA 016 Baxter, Uden, Holland), a display oscilloscope (Press Ampl. 863, Siemens, Germany) and a thermal recorder (Mingograf 82, Siemens, Solna, Sweden). The position of the pulmonary artery catheter was verified by the characteristic pressure tracing on the oscilloscope and was confirmed by autopsy. Electronically measured PAP and right ventricular systolic pressures were recorded.

Cardiac output was measured by the thermodilution technique which was validated in rodents in previous studies. See Janssens *et al.*, *J. Applied Physiol.* 77:1101-1108 (1994). Briefly, a 1.5 F thermodilution probe was inserted in the thoracic aorta via the right carotid artery and connected to a thermal dilution computer (Model REF-1, Edwards, USA), and a strip-chart recorder. Through the silastic pulmonary artery catheter, 0.15 ml saline was injected and cardiac output was read directly from the computer display. All values were measured in triplicate and varied <15%. Cardiac index (CI) was defined as the ratio of cardiac output over body weight in kilograms ( $\text{ml} \cdot \text{min}^{-1} \cdot \text{kg}^{-1}$ ). Total pulmonary vascular resistance index (TPRI) was computed by dividing mean pulmonary artery pressure by cardiac index ( $\text{mm Hg} \cdot \text{min}^{-1} \cdot \text{ml}^{-1} \cdot \text{kg}^{-1}$ ).

Rats were initially mechanically ventilated with room air and baseline PAP, systemic blood pressure, and cardiac output were recorded. To study the hypoxic pulmonary vasoconstrictor response, rats were ventilated with 10% O<sub>2</sub>, 90% N<sub>2</sub>, and PAP was monitored continuously for 25 minutes. After 5, 15, and 25 minutes of hypoxia, cardiac output was measured. Rats were then ventilated with room air and hemodynamic measurements were repeated after 20 minutes.

During acute hypoxia, PAP increased from  $18 \pm 1$  mm Hg to  $27 \pm 1$  and  $28 \pm 1$  mm Hg in saline control and AdCMV $\beta$ gal transduced rats, respectively. In contrast, the rise in PAP was markedly attenuated in AdCMVceNOS transduced rats ( $23 \pm 2$  mm Hg,  $P < 0.05$ ) and was significantly decreased as early as 5 minutes

of hypoxia. See Figure 5, left panel. Cardiac index did not differ between AdCMVceNOS treated rats and AdCMV $\beta$ gal infected rats ( $185 \pm 15$  ml/min/kg vs.  $176 \pm 18$  ml/min/kg, respectively). The reduction in total pulmonary resistance index (TPRI) during acute hypoxia in AdCMVceNOS treated rats was to  $0.157$  mm Hg·ml<sup>-1</sup>·min<sup>-1</sup>·kg<sup>-1</sup> and to  $0.122$  mm Hg·ml<sup>-1</sup>·min<sup>-1</sup>·kg<sup>-1</sup> for AdCMV $\beta$ gal and saline control rats, respectively. This reduction was therefore due to a direct pulmonary vasodilatory effect rather than an indirect effect on cardiac output. See Figure 5, right panel. Systemic blood pressure was similar in AdCMVceNOS treated and in AdCMV $\beta$ gal treated rats ( $153 \pm 6$  mm Hg vs.  $150 \pm 6$  mm Hg, respectively, n=4). Taken together, aerosolization of AdCMVceNOS and the resulting overexpression of the ceNOS gene product significantly reduced acute hypoxic vasoconstriction without affecting systemic blood pressure or cardiac index.

ceNOS gene transfer thus locally produces NO which selectively vasodilates pulmonary vessels in a fashion similar to that observed with NO gas therapy in hypoxic newborn rats and in human volunteers breathing 12 % O<sub>2</sub> in N<sub>2</sub>. Frostell *et al.*, *Anesthesiology* 78:427-435 (1993). Inhaled NO did not affect pulmonary hemodynamics during room air breathing and caused no systemic hemodynamic effects, possibly because any NO which diffuses into the blood stream is rapidly inactivated by hemoglobin. Rimar *et al.*, *Circulation* 88:2884-2887 (1993). Similarly, AdCMVceNOS aerosol did not affect systemic blood pressure. However, NO gas inhalation only has an immediate and shortlasting effect on pulmonary hemodynamics, and requires continuous administration. Pepke-Zaba *et al.*, *Lancet* 338:1173-1174 (1991). By contrast, these results demonstrate that a single aerosol dose of AdCMVceNOS was able to attenuate hypoxic pulmonary vasoconstriction even when the hypoxic challenge was applied 4 to 7 days after gene delivery. Thus, these results are indicative that ceNOS expression in lungs is safe and has clinical applications as adjunctive treatment in some pulmonary hypertensive disease states responsive to inhaled NO, including persistent pulmonary hypertension of the newborn, perioperative pulmonary hypertension, and adult respiratory distress syndrome.

*What Is Claimed Is:*

1. A method of inducing pulmonary vasodilation comprising:  
introducing a vector comprising a nitric oxide synthase gene operably linked to an expression control element into the lungs of a patient in need of pulmonary vasodilation;  
5                    wherein the introduction of said gene into the lungs of said patient results in pulmonary vasodilation.
2. The method of inducing pulmonary vasodilation as claimed in claim 1, wherein said nitric oxide synthase gene is the endothelial nitric oxide synthase gene.
3. The method of inducing pulmonary vasodilation as claimed in claim 1, wherein said endothelial nitric oxide synthase gene is transduced into the lungs of said patient in a viral vector.
4. The method of inducing pulmonary vasodilation as claimed in claim 3, wherein said viral vector is an adenovirus vector.
5. The method of inducing pulmonary vasodilation as claimed in claim 4, wherein said viral vector is AdCMVceNOS.
6. The method of inducing pulmonary vasodilation as claimed in claim 3, wherein said viral vector is transduced into the lungs of said patient as an aerosol.
7. The method of inducing pulmonary vasodilation as claimed in claim 3, wherein said pulmonary vasodilation does not significantly affect systemic blood pressure or cardiac index.

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8. A method of treating pulmonary hypertension comprising overexpressing nitric oxide synthase in the lungs of a patient in need of treatment by introducing the nitric oxide synthase gene into the lungs of said patient.

9. The method of treating pulmonary hypertension as claimed in claim 7, wherein said nitric oxide synthase gene is endothelial nitric oxide synthase gene.

10. The method of treating pulmonary hypertension as claimed in claim 9, wherein said pulmonary hypertension is primary pulmonary hypertension.

11. The method of treating pulmonary hypertension as claimed in claim 9, wherein said pulmonary hypertension is secondary pulmonary hypertension associated with cardiac or pulmonary disease.

12. The method of treating pulmonary hypertension as claimed in claim 9, wherein said endothelial nitric oxide synthase gene is transduced into the lungs of said patient in a viral vector.

13. The method of treating pulmonary hypertension as claimed in claim 12, wherein said viral vector is an adenovirus vector.

14. The method of treating pulmonary hypertension as claimed in claim 13, wherein said adenovirus vector is AdCMVceNOS.

15. The method of treating pulmonary hypertension as claimed in claim 12, wherein said viral vector is transduced into the lungs of said patient as an aerosol.



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16. The method of treating pulmonary hypertension as claimed in claim 12, wherein said treatment does not affect systemic blood pressure or cardiac index.

17. A pharmaceutical composition comprising a nucleic acid encoding a nitric oxide synthase operably linked to an expression control element and a means for transducing said nucleic acid into pulmonary tissue.

18. The pharmaceutical composition as claimed in claim 17, wherein said pharmaceutical composition further comprises an effective concentration of at least one drug selected from the group consisting of an immunosuppressive agent and a phosphodiesterase inhibitor.

19. The pharmaceutical composition as claimed in claim 18, wherein said nitric oxide synthase gene is the endothelial nitric oxide synthase gene.

20. The pharmaceutical composition as claimed in claim 19, wherein said composition comprises AdCMVceNOS in admixture with a pharmaceutically acceptable carrier.

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FIG.1A



FIG.1B

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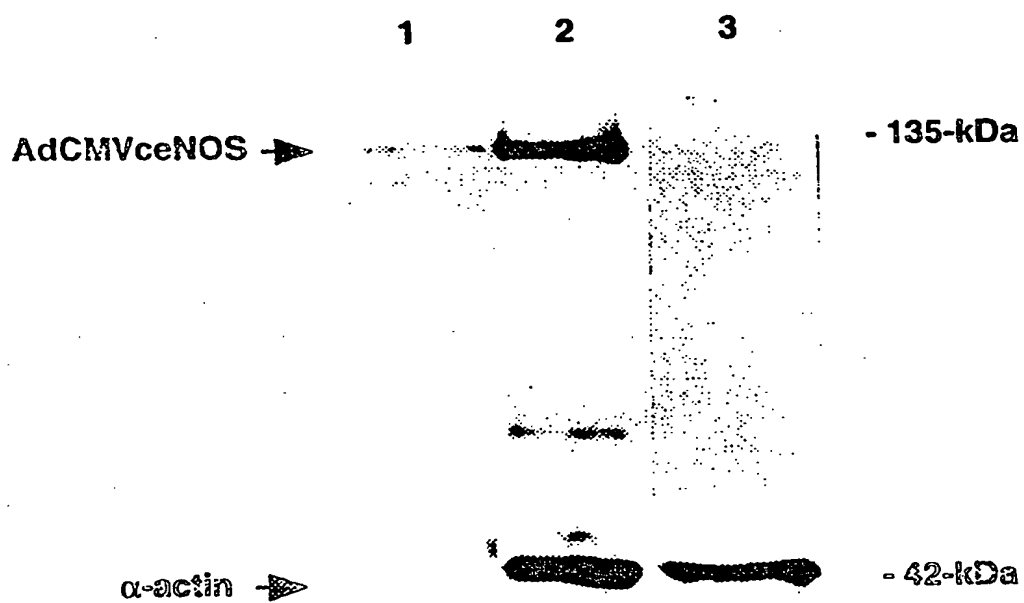


FIG.2

FIG. 3B



FIG. 3A



FIG. 3D



FIG. 3C



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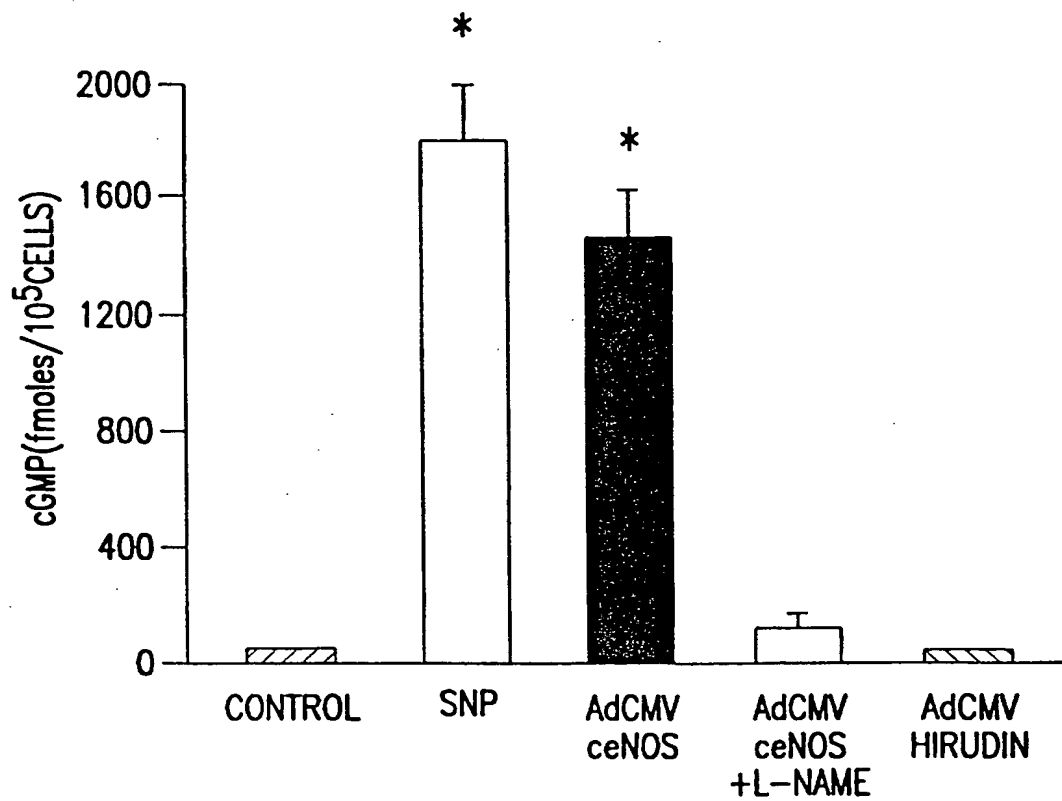


FIG.4

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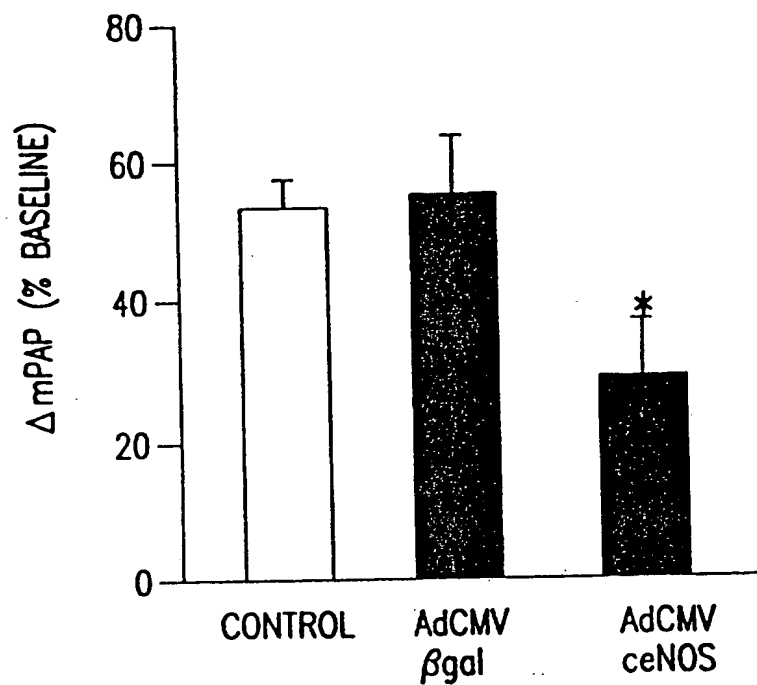


FIG.5A

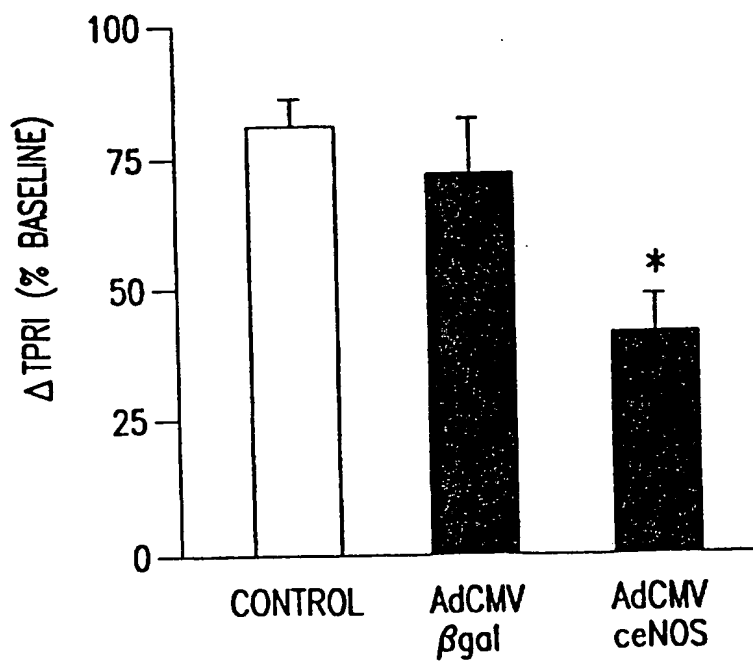


FIG.5B

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FIG. 6A

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cacctccccaccagccctcagctcttgggctgctcagcaccttggcagaagagccaggaacagcaggagctggagg  
ccctcagccaggatccccgacgctacgaggagtgaagtgggtccgctgccccacgctgctggaggtgctggagcagttc  
ccgtcgggtggcgtgctgccccactgctctcaccagctgcctctgctccagcccggtactactcagtcagctcggc  
accagcacccaccaggagagatccacctcactgtagctgtgctggcatacaggactcaggatgggtgggccccctgc  
actatggagctgctccacgtggctaagccagctcaagccggagaccctgtgccctgcttcatccggggggtccctcc  
ttccggctgccaccgatcccagcttgccctgcatcctgtgtgggtccaggcactggatggcttgccttccgggattctg  
gcaggagcggctgacattgagagcaagggtgcagccactcccatgacttgggtgctcggctgccgatgctccc  
aacttgaccatctctaccgcgacgagtgagaaacccagccagcgggggtgttggcgagctctcaccgcttctcc  
cgggaacctgacaaccccaagacctacgtgcagagacatcctgaggcggagctggctgaggaggtgcaccgctgctgtg  
cctcgagcggggccacatgtttgtctgctggcgatgttaccatggcaaccaacgctcctgcagaccgtgcagcgcacccctgg  
cgacggaggcgacatggagctggacgagggccggagcgtcatcggtgctgctgggatacagcaacgctaccacgaagac  
atcttcgggtcacgctgcgacccaggaggtgacaagccgcatacgcacccagagcttcttcagggagcgtcagtt  
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agttccgggagagcggctgcccagctcaggtccgcccagcaggatcagccccgctcctccctcttgaggtgggtgcctt  
ctcaatctgtccagaggctgcaaggattcagcattatctctccaggaaaggacaaacgctcttctccctctctaggg  
ctgttgctcgggctgggtccgcttaactggaggccccctccagcagcggtagccagggcctactgccaccgct  
tcctgttcttagtcggaatgttagattcctctgctcctcagagatcttacctgtaagctaatctctctaaatca  
agtattattattgaagatttaccataagggactgtgccagatgttaggagaactactaaagtgcctaccctccagctcaaa  
aaaaaaaaaaaaaaaaaaaa

FIG. 6B



## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US97/12510

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : A61K 31/70; C07H 21/04; C12N 15/12, 15/63  
US CL : 435/172.3, 320.1; 514/44; 536/23.2

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 435/172.3, 189; 320.1, 325, 375; 514/44; 536/23.2, 23.5

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS and STN (BIOSIS, CAPLUS, INPADOC, LIFESCI, MEDLINE, and WPIDS).

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	JANSSENS et al. Adenoviral-Mediated Transfer of the Human Endothelial Nitric Oxide Synthase Gene Reduces Acute Hypoxic Pulmonary Vasoconstriction in Rats. Journal of Clinical Investigation. July 1996, Vol. 98, No. 2, pages 317-324, see entire document.	1-17
X	Database Medline on Dialog, US National Library of Medicine (Bethesda, MD, USA) No. 96435198, SETOGUCHI et al. 'Transfer of Endothelial Nitric Oxide Synthase Gene in Purpose of Gene Therapy for Pulmonary Arterial Hypertension', abstract, Japanese Journal of Clinical Medicine, February 1996, Vol. 54, No. 2, pages 369-376, see entire abstract.	1-4, 6-13, 15-17

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*B* earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*A* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search  
07 OCTOBER 1997Date of mailing of the international search report  
22 OCT 1997Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
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Form PCT/ISA/210 (second sheet)(July 1992)\*

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US97/12510

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	Database Medline on Dialog, US National Library of Medicine (Bethesda, MD, USA) No. 96295016, TZENG et al. 'Vascular Gene Transfer of the Human Inducible Nitric Oxide Synthase: Characterization of the Activity and Effects on Myointimal Hyperplasia', abstract, Molecular Medicine, March 1996, Vol. 2, No. 2, pages 211-225, see lines 5-10 of abstract.	17
X --- Y	US 5,498,539 A (HARRISON et al.) 12 March 1996, column 2, lines 26-33; column 12, lines 23-49.	17 --- 1-3 and 8-12
Y	US 5,485,827 A (ZAPOL et al.) 23 January 1996, see abstract; column 4, line 28 - column 6, line 3.	1-20

Form PCT/ISA/210 (continuation of second sheet)(July 1992)\*

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US97/12510

## Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

Please See Extra Sheet.

1. ☒ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.  
☒ No protest accompanied the payment of additional search fees.

Form PCT/ISA/210 (continuation of first sheet(1))(July 1992)\*

INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US97/12510

**BOX II. OBSERVATIONS WHERE UNITY OF INVENTION WAS LACKING**

This ISA found multiple inventions as follows:

This application contains the following inventions or groups of inventions which are not so linked as to form a single inventive concept under PCT Rule 13.1.

Group I, claims 1-7 and 17-20, drawn to a pharmaceutical composition comprising a nucleic acid encoding a nitric oxide synthase and a first method of using the composition to induce pulmonary vasodilation.

Group II, claims 8-16, drawn to a second method of using the composition to treat pulmonary hypertension.

The inventions listed as Groups I and II do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons:

PCT Rule 13 permits, in addition to an independent claim to a composition, an independent claim to a method of making that composition and an independent claim to a method of using that composition. Therefore, there is unity of invention between the independent pharmaceutical composition of claim 17 and the first method of using said composition of independent claim 1. However, the second method of using that composition of independent claim 8 lacks unity of invention since PCT Rule 13 does not provide for multiple methods of using a composition within a single application. Therefore, groups I and II do not share a same or corresponding special technical feature within the meaning of PCT Rule 13.

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